



**San Diego-Imperial Counties Developmental Services, Inc.**  
4355 Ruffin Road, San Diego, California 92123 • (858) 576-2996 • www.sdrc.org

June 20, 2016

Nancy Bargmann, Director  
Department of Developmental Services  
1600 9<sup>th</sup> Street  
Sacramento, CA 94244-2020

Dear Nancy:

Enclosed is the "Report to the Department of Developmental Services Regarding the San Diego Regional Center's Purchase of Service Utilization Data." This report is sent in compliance with Article VII, Section 6, in the contract between the Department of Developmental Services (DDS) and the San Diego-Imperial Counties Developmental Services, Incorporated (SDICDSI).

The report was approved by the SDICDSI Board of Directors on June 14, 2016. Please contact me if you have any questions about the report. The Board of Directors and I appreciate the leadership and support from your staff and you.

Sincerely,

Carlos Flores  
Executive Director

Enclosure

- c. Brian Winfield, DDS
- Sharon Jimenez, DDS
- Terri Colachis, Chair, SDICDSI

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**REPORT TO THE DEPARTMENT OF DEVELOPMENTAL SERVICES REGARDING  
THE SAN DIEGO REGIONAL CENTER'S  
PURCHASE OF SERVICE UTILIZATION DATA**

*May 28, 2016*

*Approved by the Board of Directors of the  
San Diego-Imperial Counties Developmental Services, Inc. on June 14, 2016*

**I. Introduction:**

In June 2012, Section 4519.5 was added to the Welfare and Institutions Code (Lanterman Developmental Disabilities Services Act) through trailer bill language (AB 1472) in the budget act for fiscal year (FY) 2012-2013. This section requires that regional centers compile data related to the purchase of service with respect to clients' ages, race, ethnicity, and primary language.

Regional centers are required to post the data on their websites by December 31. Within three months after compiling the data, regional centers are required to meet with stakeholders in a public meeting regarding the data. In addition, the contract with the State of California requires the Board of Directors of the San Diego-Imperial Counties Developmental Services, Inc., to provide a report to the State by May 31, 2016, that includes issues identified by the data; the results of the public stakeholder meeting; and, proposed strategies. This report is submitted to the Department of Developmental Services (DDS) in compliance with that contractual obligation.

Amended in 2014 and effective January 1, 2015 (Welfare & Institutions Code 4519.5) the following regional center reporting requirements were added:

(A) Actions the regional center took to improve public attendance and participation at stakeholder meetings, including, but not limited to, attendance and participation by underserved communities.

(B) Copies of minutes from the meeting and attendee comments.

(C) Whether the data described in this section indicates a need to reduce disparities in the purchase of services among consumers in the regional center's catchment area. If the data does indicate that need, the regional center's recommendations and plan to promote equity, and reduce disparities, in the purchase of services.

Each regional center and the Department of Developmental Services shall annually post the reports required on its Internet Web site by August 31.

## II. Purchase of Service Utilization Data:

### Data Limitations of Purchase of Service Expenditure Data

#### 1. Purchase of Service Costs

The Purchase of Service (POS) data displayed represents the cost of services that clients received that are paid for by the regional center. This POS expenditure data does not include the cost of services clients receive that are paid for by Supplemental Security Income, Medi-Cal, the school system, and other generic agencies. The POS expenditure data represent expenditures the regional center made for services that clients received during FY 2014-15. The data are based on regional center POS state claim data that was provided to DDS as of December 31, 2015.

#### 2. Client Count

The total number of clients who received services during the fiscal year will be greater than the regional center's actual caseload. A client is included in the data if the client received a regional center funded service at any time during the fiscal year. A client who received a single service once during the year is counted the same as a client who received ongoing monthly services. Clients are also counted regardless of their current status with the regional center. The data may include clients whose current status is closed, transferred-out, in-active, etc., if the client received regional center funded services during FY 2014-15.

#### 3. Contract Purchase of Service Expenditures

Due to the limitations of the regional center uniform fiscal system (UFS), POS expenditure data do not include payments made by the regional center that are paid to a service provider under a contract. Typical services paid to a service provider under a contract may include, but are not limited to, transportation services and supported employment program group services. Therefore, the total amount of POS expenditures reported will not reconcile with the regional center's actual POS expenditures for FY 2014-15.

#### 4. Authorized Services

Services that are authorized "encumber" the funds needed to pay for those services. The regional center's computer system (UFS) gives the regional center options regarding the "maintenance" of its encumbrances. When encumbrances are routinely adjusted for non-utilization, there will be a higher utilization percentage. When encumbrances are not routinely adjusted for non-utilizations, there will be a lower utilization rate.

## 5. Clients with Multiple Diagnoses

There are many clients who have more than one diagnosis. In the summary reports for Purchase of Service Expenditures by Diagnosis the report does not show clients with two or more diagnoses in all the categories in which they have a diagnosis, but rather the diagnoses are ranked and a client with multiple diagnoses shows up only once. The ranking of the diagnosis follows.

- a. Autism
- b. Intellectual Disability
- c. Cerebral Palsy
- d. Epilepsy
- e. Category 5

An example could help illustrate this process. If a client has both diagnoses of Intellectual Disability and Epilepsy, the client will be included in the Intellectual Disability group (the more highly ranked diagnosis). If a client has three diagnoses Cerebral Palsy, Epilepsy, and Category 5, they would be included in Cerebral Palsy.

## 6. Category 5 Clients

Clients listed in Category 5, according to Welfare and Institutions Code section 4512, are individuals who have “disabling conditions found to be closely related to Intellectual Disability or to require treatment similar to that required for individuals with Intellectual Disability, but shall not include other handicapping conditions that are solely physical in nature.”

### III. Issues Identified by the Data

#### 1. Expenditures and Authorized Services by Ethnicity or Race

1. Asian, Latino, Native American, Filipino clients received and were authorized less per capita POS expenditures as contrasted to White clients. The difference between Black/African-American and White clients was not as pronounced. There were clear age effects as outlined below.
2. This effect remains evident in Early Start clients though less prominent. Native American and Polynesian per capita expenses appeared significantly less, though sample sizes were very small.
3. This effect is not present for school age clients (3 years to 21 years, inclusive) with no significant differences among Asian, White and Black/African-American groups while Filipino, Polynesian and Latino groups received slightly less POS per capita expenditures

4. For adult clients (ages 22 years and older) the ethnic/racial effect becomes more pronounced, that is, Asian, Black/African-American, Filipino, Latino, Native American, and Polynesian clients received significantly less per capita POS expenditures as contrasted to White clients.
5. Comparison between the four sequential fiscal years of 2011-2012-2013-2014 reflects that the similar disparity pattern exists and no significant change has occurred.
6. Once again the profile of the San Diego Regional Center staff mirrors the ethnic and racial distribution of the SDRC clients. An artifact of the categorization process of racial or ethnic identification of SDRC's clients has resulted in a disproportionate number of clients (30%) who were identified as "other ethnicity or race". This large number of clients in this category prompts questions about the validity of the ethnicity and racial assignment of the clients. This question of the validity of the category renders exact comparisons with staff profile difficult because the categorization of client's race and ethnicity is not accurate.

## 2. Expenditures and Authorized Services by Diagnosis

1. Clients with an Autism diagnosis received and were authorized less per capita POS expenditures as contrasted to the other diagnostic groups. This finding, though unanticipated, is attributable to the large percentage of clients with a diagnosis of Autism that are between the ages of 3 years and 21 years, with the school district assuming the primary responsibility for providing services. This timeframe (school age) is relatively inexpensive as compared to the significantly more costly adult phase (22 years and older). The diagnoses of Intellectual Disability and Epilepsy are under-represented during the school age period and over represented during adulthood. Applied Behavior Analysis (ABA), the mainstay treatment for clients with a diagnosis of Autism, is now being funded by insurance which also reduces the POS expenditures.
2. Clients with an Autistic diagnosis in Early Start received and were authorized significantly more per capita POS expenditures (three to five times more) as contrasted to the other diagnostic categories. Analyses by diagnostic category from birth to three years old is difficult due to majority of clients (87%) have not been diagnosed.

3. During school age, differences in POS expenditures were significant. Clients with an Autistic diagnosis received the greatest amount. Clients with diagnoses of Cerebral Palsy and Epilepsy received the least, though these groups had relatively few clients and statistically may not be significant.
4. Adult clients with an Autism diagnosis received more POS expenditures, though the sample size is relatively small. There does not appear to be a significant difference among the other diagnostic categories.
5. In the expanded analyses where multiple diagnoses could be applied it appears in general that clients with several developmental disabilities tend to have more per capital expenditures, though sample sizes vary greatly with some categories having very few clients which makes it difficult to determine statistical significance.

### 3. Clients with No POS

1. Another perspective to assess if there are disparities is to determine if there are significant differences in the number of clients with no purchase of services among ethnic and racial groups. In this analysis, the differences across the groups are significantly less, though the pattern of clients who identified themselves as White are less likely to receive no purchase of services. There are no discernible differences among the Asian, Black, Filipino, Latino, or Polynesian groups.
2. Results during Early Start were difficult to discern due to the small sample sizes. During school age there did not appear to be any significant differences in the percentage of clients not receiving POS by ethnic group. After school age, the differences reappear with the clients who identified themselves as White being less likely to receive no purchase of services.
3. There is a very slight difference among the diagnostic categories with respect to percentage of no purchased services, with clients diagnosed with Autism having a marginally higher percentage with no purchase of services. There are age interactions with virtually no clients (1.9%) with an Autism diagnosis in Early Start without services purchased by the regional center. During the school age that percentage increases to 28%. This pattern is replicated in the other diagnostic categories, though the effect is not as robust. For clients 22 years and older there is no significant difference in the percentage of clients not receiving Purchase of Services.

#### IV. Stakeholder Meetings:

The first public meeting was convened at the San Diego Regional Center's Imperial office at 512 West Aten Road, Imperial, CA, 92251, on March 22, 2016, from 6:00 to 7:00 p.m. Announcements were made both in English and Spanish to improve attendance in an underserved community. There were eight attendees. The San Diego Regional Center convened the second stakeholder public meeting at the San Diego Regional Center's Kearny Mesa office in Suite 101, at 4355 Ruffin Road, San Diego, CA, 92123, on March 23, 2016, from 6:00 to 7:00 p.m. There were eleven in attendance.

The client purchase of service data were discussed at both public setting. The executive director presented the findings of the POS utilization data and facilitated discussion. The following recommendations were made:

- Families should be informed about the exceptions to the respite services cap in the Lanterman Act;
- SDRC should collect data on socio-economic status;
- Examine the National Core Indicators (NCI) to get qualitative and quantitative data and review those findings; and,
- Encourage participating in public meetings.

The data were posted on the SDRC internet and the public meetings were convened in compliance with Section 4519.5 of the Welfare and Institutions Code. Handouts were distributed at the stakeholders meetings which provided the statutory context, limitations of POS data, San Diego Regional Center POS utilization data analyzed by ethnicity or race, language, diagnosis, and the San Diego Regional Center staff ethnic profile.

#### V. Proposed Strategies

The San Diego Regional Center analyzed the POS utilization data and was receptive to the input from the stakeholder meeting. Though it was empirically demonstrated that disparities exist among racial and ethnic groups in terms of services purchased, empirical investigations and more sophisticated statistical analyses are necessary to better understand the reasons for these differences. The better understanding of these differences may help identify any organizational barrier, biases or cultural differences that may contribute to the disparities of POS dollars. In light of the primary need to understand the nature of these difference the San Diego Regional Center has actively participated in partnering with research institutions to empirically investigate the reason for the differences.

The San Diego Regional Center has joined the University of California at San Diego to examine the barriers to accessing high quality early interventions for low-income Mexican heritage families and their children with Autism Spectrum Disorder. The study will explore

how parents' beliefs about the causes, symptoms, and treatments for Autism Spectrum Disorder affect their selection of early intervention for their children. It is the intent of this investigation to identify specific modifications to early intervention programs that are meaningful and efficacious for Mexican heritage families.

The San Diego Regional Center has partnered with Rady's Children's Hospital in applying for a grant offered by Health Resources and Services Administration to promote "Innovation in Care Integration for Children and Youth with Autism Spectrum Disorders and Other Developmental Disabilities". This joint proposal intends to improve screening, referral and linkage to services for children with Autism Spectrum Disorders and other developmental disorders in underserved populations across the state. This grant will be specifically focused on Spanish speaking populations that are in nearby underserved communities in San Diego and Imperial Counties.

Leveraging the opportunities afforded by Assembly Bill (AB)X2 1, the San Diego Regional Center is eager to participate with the Department of Developmental Services and submit a proposal to implement a plan to address issues of disparity in our catchment areas and perhaps partner with other southern California regional centers to address a regional plan.

Even though a clear understanding of the nature of the differences in POS expenditures among different ethnic/racial groups has yet to be realized, the San Diego Regional Center established and implemented a comprehensive and multi-level (*of our organizational hierarchy*) cultural competency educational program. The San Diego Regional Center Board of Directors participated in a specifically designed instructional module for board members - "Cultural and Linguistic Competence-Board of Directors". The following three electronic modules were produced and made available for all staff to learn about cultural competence.

- Cultural Competence: Culture and Communication
- Cultural Competence: Dimensions of Culture
- Cultural Competence: Understanding Culture

The San Diego Regional Center engaged Nonprofit Management Solutions to offer trainings with experts in the field of cultural competency. This highly participatory workshop helped staff explore and understand the impact of cultural beliefs, assumptions and biases within the workplace and how to create plans to integrate principles of cultural competence into personal and professional practices.

Employing a more informal approach, the San Diego Regional Center developed a Cultural Awareness Lunch Series where staff on a monthly basis could bring their "brown bag" lunch and listen to speakers from various ethnic groups speak from a first person perspective of their unique cultural attitudes that may impact their receptivity to receiving support and services as well as their beliefs and feelings about developmental disabilities.



## VI. Listing of Appendices

### POS Expenditure Data

The tables and graph on pages 10 - 20 are entitled:

- San Diego Regional Center ~ Trend of Per Capita POS Expenditures by Ethnicity/Racial Groups for FY 2012 - 2013 - 2014 - 2015 (*page 10*)
- San Diego Regional Center ~ Total Annual POS Expenditures by Ethnicity or Race (*Table page - 11; Graph - page 12*)
- San Diego Regional Center, Racial & Ethnic Profile: Staff & Client Comparison, SDRC Employee Census - May 2016 ~ SDRC Client - FY 2014-2015 Disparity Data (*page 13*) (This graph compares SDRC staff and clients served by the San Diego Regional Center relative to their racial and ethnic profiles)
- San Diego Regional Center ~ Total Annual POS Expenditures by Diagnosis (*Table - page 14; Graph- page 15*)
- San Diego Regional Center ~ Clients with No Purchase of Services by Ethnicity or Race (*Table - page 16; Graph- page 17*)
- San Diego Regional Center ~ Clients with No Purchase of Services by Diagnosis - (*Table - page 18; Graph- page 19*)

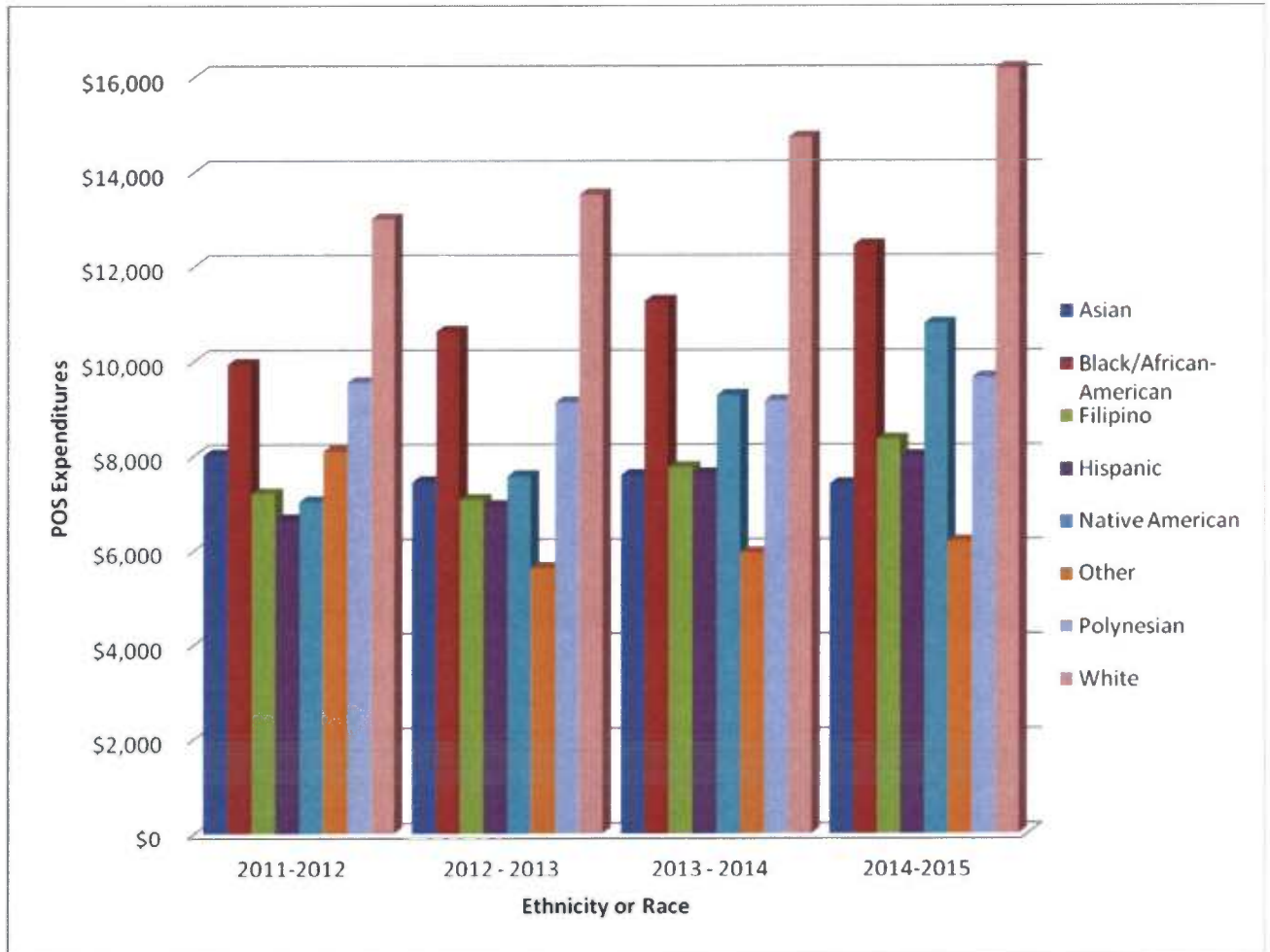
### Public Meeting Information

Documents on pages 20 - 25 are entitled:

- Announcement of Public Meeting - "Purchase of Service Data" for Imperial and San Diego County on March 22, 2016 and March 23, 2016 respectively - English (*page 20*)
- Announcement of Public Meeting - "Purchase of Service Data" for Imperial and San Diego County on March 22, 2016 and March 23, 2016 respectively - Spanish (*page 21*)
- Meeting Minutes - "Purchase of Service Data" for Imperial County March 22, 2016 (*page 22*)

- Meeting Minutes - "Purchase of Service Data" for San Diego County March 23, 2016 (*page 23*)
- Sign In Sheet - "Purchase of Service Data" for Imperial County March 22, 2016 (*page 24*)
- Sign In Sheet - "Purchase of Service Data" for San Diego County March 23, 2016 (*page 25*)

San Diego Regional Center ~ Trend of Per Capita POS Expenditures  
By Ethnicity/Racial Groups for FY 2012 – 2013 – 2014 – 2015



	2011-2012	2012 - 2013	2013 - 2014	2014-2015
Asian	\$8,004	\$7,442	\$7,583	\$7,395
Black/African-American	\$9,915	\$10,614	\$11,260	\$12,436
Filipino	\$7,187	\$7,058	\$7,747	\$8,330
Hispanic	\$6,635	\$6,932	\$7,611	\$7,983
Native American	\$7,017	\$7,564	\$9,260	\$10,790
Other	\$8,082	\$5,608	\$5,935	\$6,172
Polynesian	\$9,540	\$9,114	\$9,150	\$9,649
White	\$12,988	\$13,509	\$14,722	\$16,181

## Total Annual Expenditures and Authorized Services by Ethnicity or Race

**For All Ages**

Ethnicity	Consumer Count	Total Expenditures	Total Authorized Services	Per Capita Expenditures	Per Capita Authorized Services	Utilized
Asian	797	\$5,894,045	\$8,000,698	\$7,395	\$10,039	73.7%
Black/African-American	1,258	\$15,644,508	\$19,851,318	\$12,436	\$15,780	78.8%
Filipino	693	\$5,772,897	\$7,409,090	\$8,330	\$10,691	77.9%
Hispanic	7,538	\$60,178,811	\$80,170,460	\$7,983	\$10,636	75.1%
Native American	62	\$668,999	\$839,953	\$10,790	\$13,548	79.6%
Other Ethnicity or Race	7,876	\$48,610,966	\$72,491,415	\$6,172	\$9,204	67.1%
Polynesian	72	\$694,727	\$964,438	\$9,649	\$13,395	72.0%
White	7,086	\$114,661,561	\$139,695,367	\$16,181	\$19,714	82.1%
<b>Totals:</b>	<b>25,382</b>	<b>\$252,126,513</b>	<b>\$329,422,740</b>	<b>\$9,933</b>	<b>\$12,979</b>	<b>76.5%</b>

**For Birth to age 2 years, inclusive**

Asian	99	\$475,337	\$821,242	\$4,801	\$8,295	57.9%
Black/African-American	38	\$138,516	\$246,917	\$3,645	\$6,498	56.1%
Filipino	39	\$221,843	\$377,351	\$5,688	\$9,676	58.8%
Hispanic	707	\$2,967,441	\$5,342,950	\$4,197	\$7,557	55.5%
Native American	1	\$2,665	\$9,179	\$2,665	\$9,179	29.0%
Other Ethnicity or Race	3,344	\$14,392,889	\$24,859,033	\$4,304	\$7,434	57.9%
Polynesian	1	\$127	\$2,099	\$127	\$2,099	6.1%
White	230	\$1,404,345	\$2,435,972	\$6,106	\$10,591	57.7%
<b>Totals:</b>	<b>4,459</b>	<b>\$19,603,163</b>	<b>\$34,094,743</b>	<b>\$4,396</b>	<b>\$7,646</b>	<b>57.5%</b>

**For age 3 years to 21 years, inclusive**

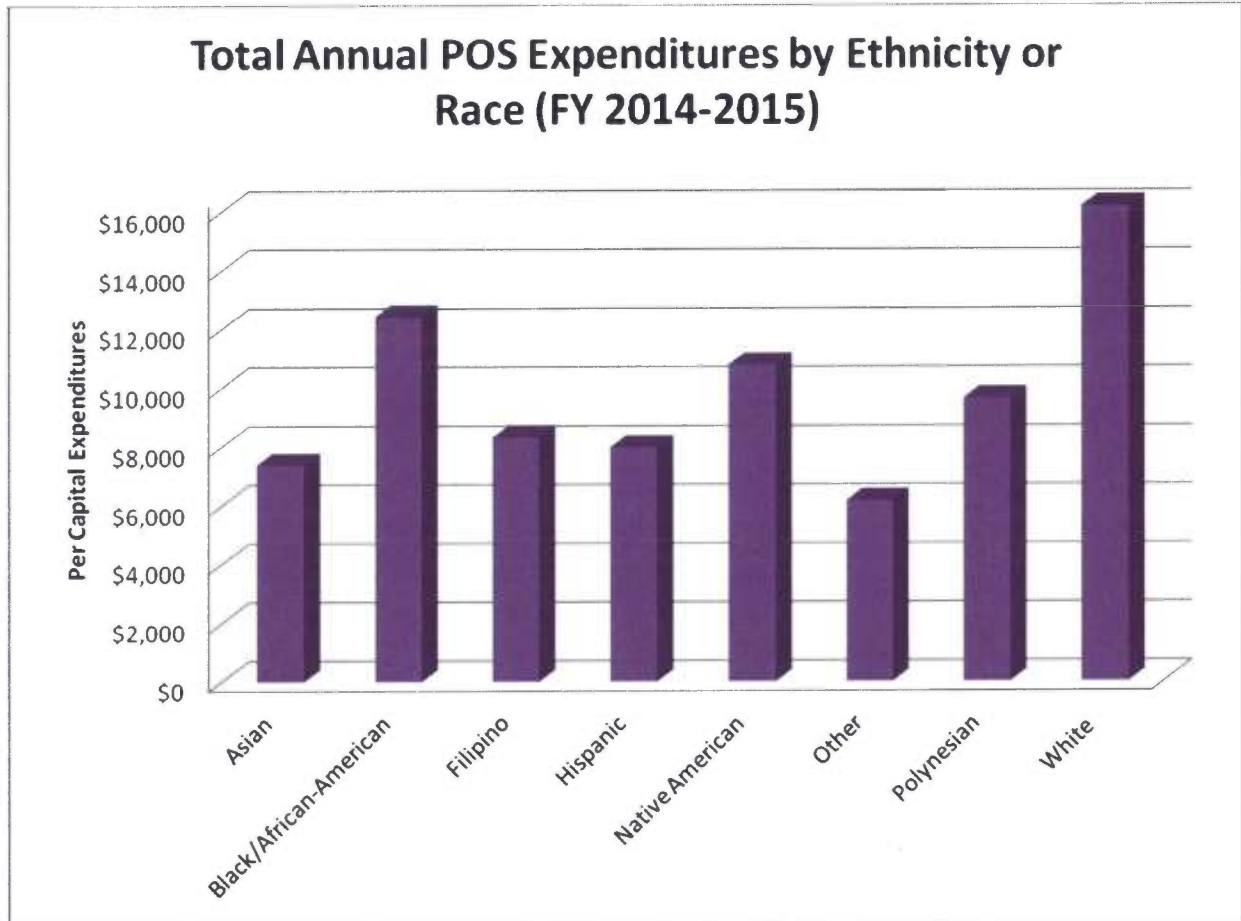
Asian	433	\$1,948,578	\$2,896,928	\$4,500	\$6,690	67.3%
Black/African-American	438	\$2,395,934	\$3,383,230	\$5,470	\$7,724	70.8%
Filipino	327	\$1,102,645	\$1,500,853	\$3,372	\$4,590	73.5%
Hispanic	4,028	\$15,622,685	\$22,565,369	\$3,879	\$5,602	69.2%
Native American	27	\$174,533	\$209,324	\$6,464	\$7,753	83.4%
Other Ethnicity or Race	3,535	\$17,777,529	\$27,386,706	\$5,029	\$7,747	64.9%
Polynesian	35	\$133,661	\$193,699	\$3,819	\$5,534	69.0%
White	2,320	\$12,056,667	\$16,420,445	\$5,197	\$7,078	73.4%
<b>Totals:</b>	<b>11,143</b>	<b>\$51,212,233</b>	<b>\$74,556,552</b>	<b>\$4,596</b>	<b>\$6,691</b>	<b>68.7%</b>

**For age 22 years and older**

Asian	265	\$3,470,129	\$4,282,528	\$13,095	\$16,160	81.0%
Black/African-American	782	\$13,110,059	\$16,221,172	\$16,765	\$20,743	80.8%
Filipino	327	\$4,448,409	\$5,530,886	\$13,604	\$16,914	80.4%
Hispanic	2,803	\$41,588,684	\$52,262,142	\$14,837	\$18,645	79.6%
Native American	34	\$491,801	\$621,450	\$14,465	\$18,278	79.1%
Other Ethnicity or Race	997	\$16,440,547	\$20,245,676	\$16,490	\$20,307	81.2%
Polynesian	36	\$560,939	\$768,640	\$15,582	\$21,351	73.0%
White	4,536	\$101,200,549	\$120,838,950	\$22,311	\$26,640	83.7%
<b>Totals:</b>	<b>9,780</b>	<b>\$181,311,117</b>	<b>\$220,771,445</b>	<b>\$18,539</b>	<b>\$22,574</b>	<b>82.1%</b>

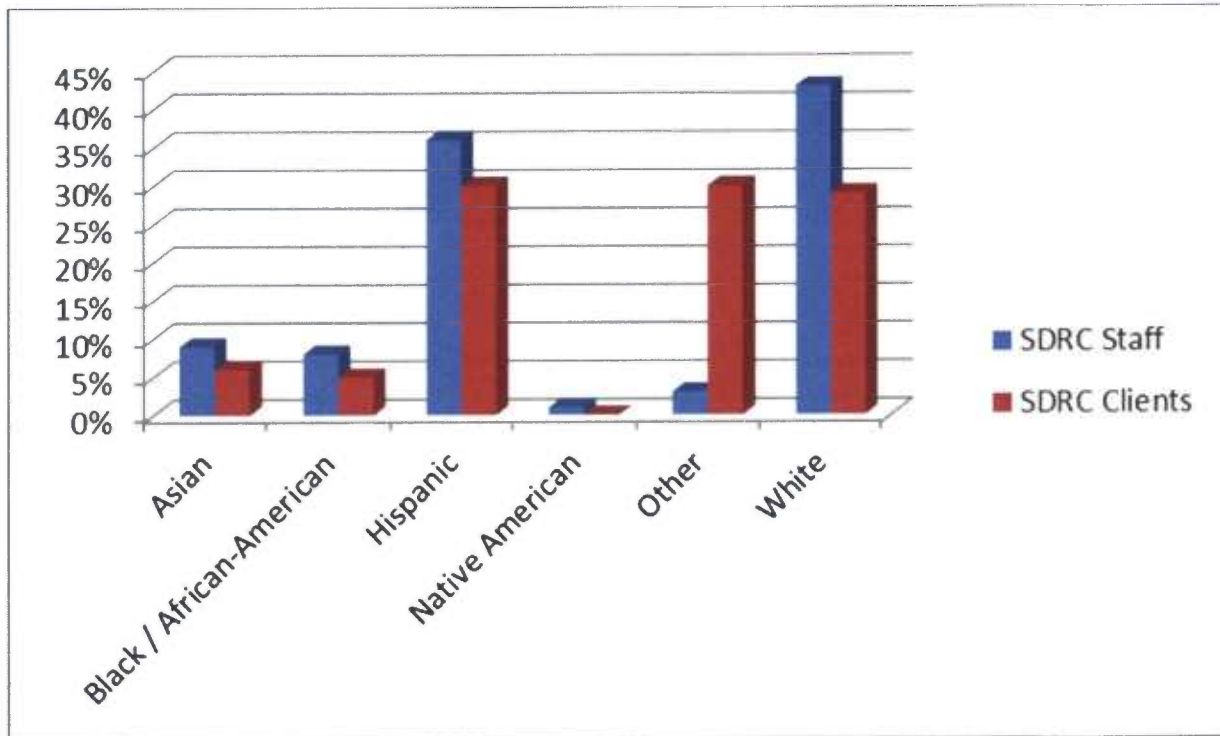
The expenditure data reported may not include payments made by the regional center to a service provider under a Contract. Typical services paid to a service provider under a Contract include, but are not limited to, Transportation Services, Transportation Assistant Services, and Supported Employment Program (SEP) Group Services.

San Diego Regional Center ~ Per Capita POS Expenditures  
by Ethnicity/Racial Groups for FY 2015



	POS Expenditures
Asian	\$7,395
Black/African-American	\$12,436
Filipino	\$8,330
Hispanic	\$7,983
Native American	\$10,790
Other	\$6,172
Polynesian	\$9,649
White	\$16,181

San Diego Regional Center  
 Racial & Ethnic Profile: Staff & Client Comparison  
 SDRC Employee Census April 2016 ~ SDRC Client FY 2014-2015 Disparity Data



	SDRC Staff	SDRC Clients
Asian	9%	6%
Black / African-American	8%	5%
Hispanic	36%	30%
Native American	1%	0%
Other	3%	30%
White	43%	29%

## Total Annual Expenditures and Authorized Services by Diagnosis - Summary

**For All Ages**

Diagnosis	Consumer Count	Total Expenditures	Total Authorized Services	Per Capita Expenditures	Per Capita Authorized Services	Utilized
Autism (AU)	7,199	\$62,061,900	\$88,038,341	\$8,621	\$12,229	70.5%
Intellectual Disability (MR)	11,833	\$155,456,653	\$191,242,871	\$13,138	\$16,162	81.3%
Cerebral Palsy (CP)	802	\$9,580,408	\$11,891,049	\$11,946	\$14,827	80.6%
Epilepsy (EP)	277	\$3,988,407	\$4,819,608	\$14,399	\$17,399	82.8%
Category 5 (CAT5)	525	\$6,695,675	\$8,415,983	\$12,754	\$16,030	79.6%
Other (NODX)	4,746	\$14,343,471	\$25,014,888	\$3,022	\$5,271	57.3%
<b>Totals:</b>	<b>25,382</b>	<b>\$252,126,513</b>	<b>\$329,422,740</b>	<b>\$9,933</b>	<b>\$12,979</b>	<b>76.5%</b>

**For Birth to age 2 years, inclusive**

Autism (AU)	479	\$6,757,392	\$11,261,674	\$14,107	\$23,511	60.0%
Intellectual Disability (MR)	130	\$547,424	\$977,432	\$4,211	\$7,519	56.0%
Cerebral Palsy (CP)	23	\$67,728	\$115,664	\$2,945	\$5,029	58.6%
Epilepsy (EP)	7	\$29,224	\$59,411	\$4,175	\$8,487	49.2%
Category 5 (CAT5)	0					
Other (NODX)	3,820	\$12,201,395	\$21,680,562	\$3,194	\$5,676	56.3%
<b>Totals:</b>	<b>4,459</b>	<b>\$19,603,163</b>	<b>\$34,094,743</b>	<b>\$4,396</b>	<b>\$7,646</b>	<b>57.5%</b>

**For age 3 years to 21 years, inclusive**

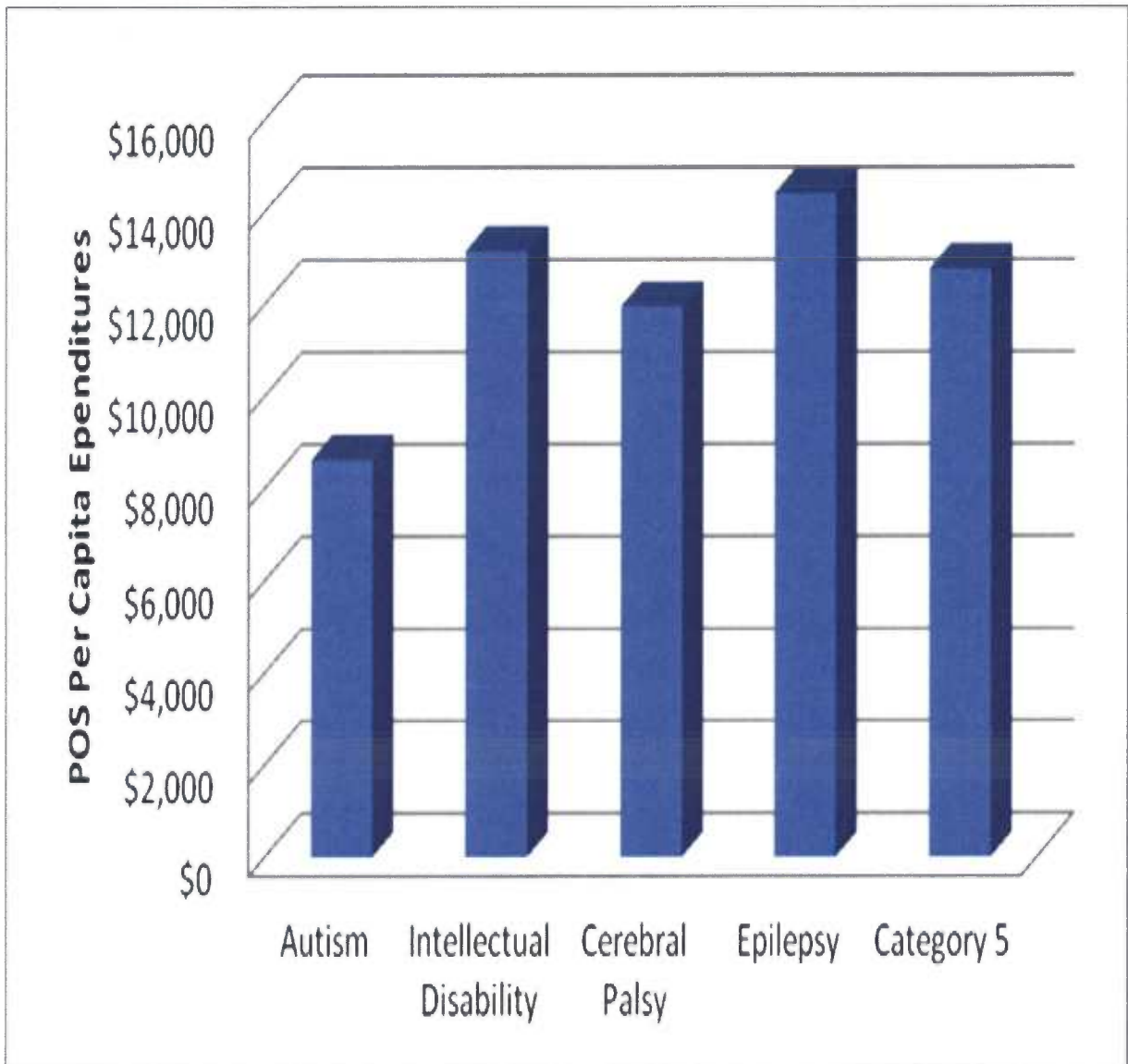
Autism (AU)	5,760	\$33,133,385	\$49,997,438	\$5,752	\$8,680	66.3%
Intellectual Disability (MR)	4,164	\$15,176,872	\$20,084,408	\$3,645	\$4,823	75.6%
Cerebral Palsy (CP)	255	\$657,704	\$967,340	\$2,579	\$3,793	68.0%
Epilepsy (EP)	49	\$97,529	\$193,873	\$1,990	\$3,957	50.3%
Category 5 (CAT5)	67	\$352,786	\$433,459	\$5,265	\$6,470	81.4%
Other (NODX)	848	\$1,793,957	\$2,880,034	\$2,116	\$3,396	62.3%
<b>Totals:</b>	<b>11,143</b>	<b>\$51,212,233</b>	<b>\$74,556,552</b>	<b>\$4,596</b>	<b>\$6,691</b>	<b>68.7%</b>

**For age 22 years and older**

Autism (AU)	960	\$22,171,123	\$26,779,229	\$23,095	\$27,895	82.8%
Intellectual Disability (MR)	7,539	\$139,732,356	\$170,181,031	\$18,535	\$22,573	82.1%
Cerebral Palsy (CP)	524	\$8,854,976	\$10,808,046	\$16,899	\$20,626	81.9%
Epilepsy (EP)	221	\$3,861,655	\$4,566,324	\$17,474	\$20,662	84.6%
Category 5 (CAT5)	458	\$6,342,889	\$7,982,523	\$13,849	\$17,429	79.5%
Other (NODX)	78	\$348,119	\$454,292	\$4,463	\$5,824	76.6%
<b>Totals:</b>	<b>9,780</b>	<b>\$181,311,117</b>	<b>\$220,771,445</b>	<b>\$18,539</b>	<b>\$22,574</b>	<b>82.1%</b>

The expenditure data reported may not include payments made by the regional center to a service provider under a Contract. Typical services paid to a service provider under a Contract include, but are not limited to, Transportation Services, Transportation Assistant Services, and Supported Employment Program (SEP) Group Services.

San Diego Regional Center ~ Per Capita POS Expenditures  
by Diagnosis for FY 2015



	Per Capita POS Expenditures
Autism	\$8,621
Intellectual Disability	\$13,138
Cerebral Palsy	\$11,946
Epilepsy	\$14,399
Category 5	\$12,754



## Consumers with No Purchase of Services by Ethnicity or Race

**For All Ages**

Ethnicity	Total Eligible Consumers	Consumers Receiving Purchased Services	Consumers With No Purchased Services	Percent With No Purchased Services
Asian	797	595	202	25.3%
Black/African-American	1,258	989	269	21.4%
Filipino	693	508	185	26.7%
Hispanic	7,538	5,640	1,898	25.2%
Native American	62	40	22	35.5%
Other Ethnicity or Race	7,876	6,577	1,299	16.5%
Polynesian	72	55	17	23.6%
White	7,086	5,958	1,128	15.9%
<b>Totals:</b>	<b>25,382</b>	<b>20,362</b>	<b>5,020</b>	<b>19.8%</b>

**For Birth to age 2 years, inclusive**

Asian	99	93	6	6.1%
Black/African-American	38	33	5	13.2%
Filipino	39	38	1	2.6%
Hispanic	707	668	39	5.5%
Native American	1	1	0	0.0%
Other Ethnicity or Race	3,344	3,133	211	6.3%
Polynesian	1	1	0	0.0%
White	230	206	24	10.4%
<b>Totals:</b>	<b>4,459</b>	<b>4,173</b>	<b>286</b>	<b>6.4%</b>

**For age 3 years to 21 years, inclusive**

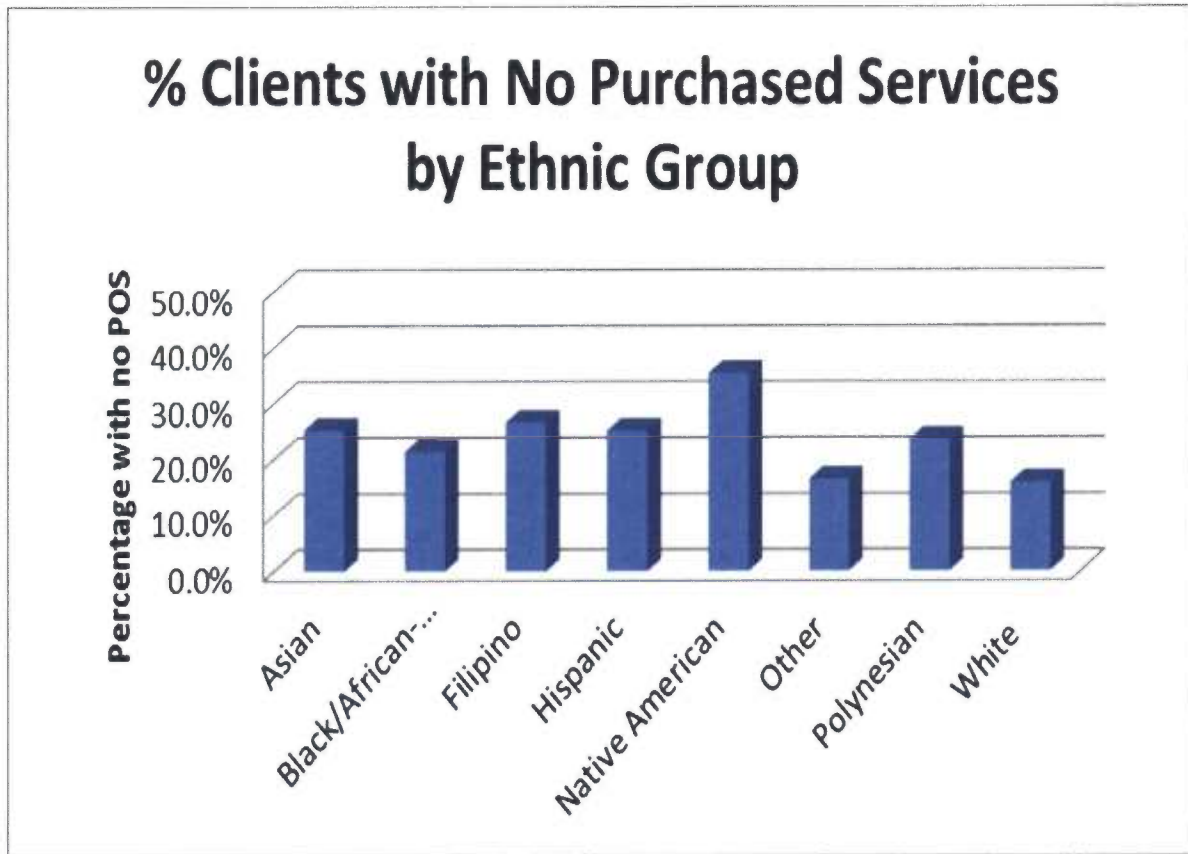
Asian	433	297	136	31.4%
Black/African-American	438	270	168	38.4%
Filipino	327	207	120	36.7%
Hispanic	4,028	2,610	1,418	35.2%
Native American	27	10	17	63.0%
Other Ethnicity or Race	3,535	2,597	938	26.5%
Polynesian	35	25	10	28.6%
White	2,320	1,611	709	30.6%
<b>Totals:</b>	<b>11,143</b>	<b>7,627</b>	<b>3,516</b>	<b>31.6%</b>

**For age 22 years and older**

Asian	265	205	60	22.6%
Black/African-American	782	686	96	12.3%
Filipino	327	263	64	19.6%
Hispanic	2,803	2,362	441	15.7%
Native American	34	29	5	14.7%
Other Ethnicity or Race	997	847	150	15.0%
Polynesian	36	29	7	19.4%
White	4,536	4,141	395	8.7%
<b>Totals:</b>	<b>9,780</b>	<b>8,562</b>	<b>1,218</b>	<b>12.5%</b>

The expenditure data reported may not include payments made by the regional center to a service provider under a Contract. Typical services paid to a service provider under a Contract include, but are not limited to, Transportation Services, Transportation Assistant Services, and Supported Employment Program (SEP) Group Services.

San Diego Regional Center ~ Percentage of Ethnic Group Receiving No Purchase of Services for FY 2015



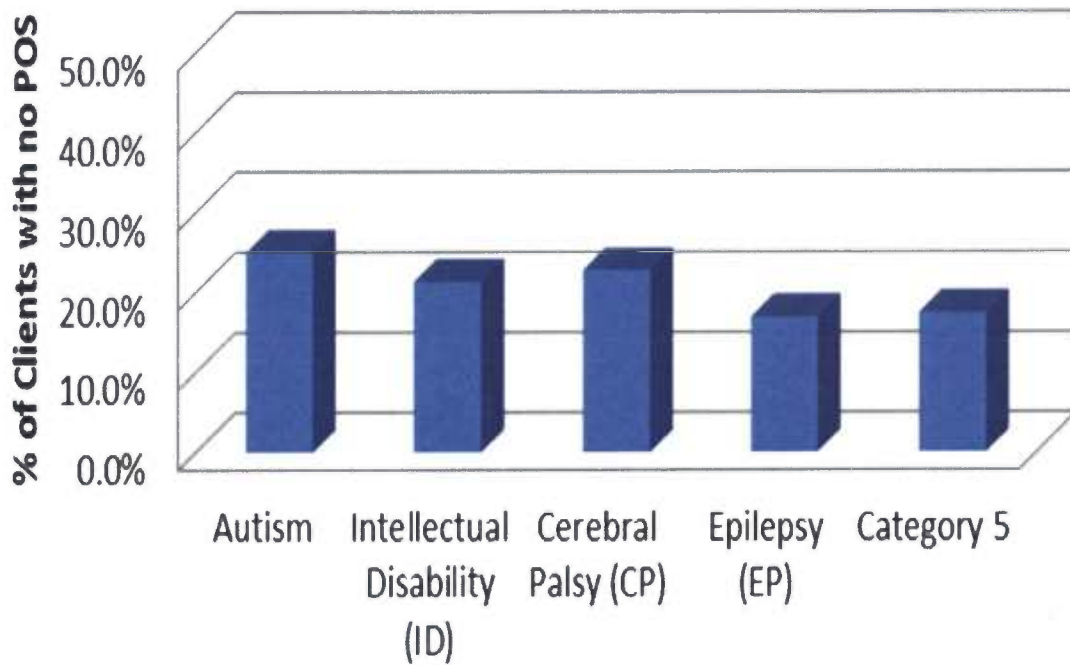
	% with No Purchased Services
Asian	25.3%
Black/African-American	21.4%
Filipino	26.7%
Hispanic	25.2%
Native American	35.5%
Other	16.5%
Polynesian	23.6%
White	15.9%

## Consumers with No Purchase of Services by Diagnosis - Summary

<b>For All Ages</b>				
Diagnosis	Total Eligible Consumers	Consumers Receiving Purchased Services	Consumers With No Purchased Services	Percent With No Purchased Services
Autism (AU)	7,199	5,391	1,808	25.1%
Intellectual Disability (MR)	11,833	9,300	2,533	21.4%
Cerebral Palsy (CP)	802	619	183	22.8%
Epilepsy (EP)	277	230	47	17.0%
Category 5 (CAT5)	525	433	92	17.5%
Other (NODX)	4,746	4,389	357	7.5%
<b>Totals:</b>	25,382	20,362	5,020	19.8%
 <b>For Birth to age 2 years, inclusive</b>				
Autism (AU)	479	474	5	1.0%
Intellectual Disability (MR)	130	113	17	13.1%
Cerebral Palsy (CP)	23	16	7	30.4%
Epilepsy (EP)	7	7	0	0.0%
Category 5 (CAT5)	0	0	0	-
Other (NODX)	3,820	3,563	257	6.7%
<b>Totals:</b>	4,459	4,173	286	6.4%
 <b>For age 3 years to 21 years, inclusive</b>				
Autism (AU)	5,760	4,105	1,655	28.7%
Intellectual Disability (MR)	4,164	2,518	1,646	39.5%
Cerebral Palsy (CP)	255	156	99	38.8%
Epilepsy (EP)	49	27	22	44.9%
Category 5 (CAT5)	67	41	26	38.8%
Other (NODX)	848	780	68	8.0%
<b>Totals:</b>	11,143	7,627	3,516	31.6%
 <b>For age 22 years and older</b>				
Autism (AU)	960	812	148	15.4%
Intellectual Disability (MR)	7,539	6,669	870	11.5%
Cerebral Palsy (CP)	524	447	77	14.7%
Epilepsy (EP)	221	196	25	11.3%
Category 5 (CAT5)	458	392	66	14.4%
Other (NODX)	78	46	32	41.0%
<b>Totals:</b>	9,780	8,562	1,218	12.5%

The expenditure data reported may not include payments made by the regional center to a service provider under a Contract. Typical services paid to a service provider under a Contract include, but are not limited to, Transportation Services, Transportation Assistant Services, and Supported Employment Program (SEP) Group Services.

## % with no POS by Diagnostic Category



	% with no POS
Autism	25.1%
Intellectual Disability (ID)	21.4%
Cerebral Palsy (CP)	22.8%
Epilepsy (EP)	17.0%
Category 5	17.5%



## Purchase of Service Expenditure Data Public Meeting

**Tuesday**  
**March 22, 2016**  
**6:00 - 7:00 p.m.**

San Diego Regional Center  
Imperial Office  
512 West Aten Road  
Imperial, CA 92251

**Wednesday**  
**March 23, 2016**  
**6:00- 7:00 p.m.**

San Diego Regional Center  
Suite 118 Conference Room  
4355 Ruffin Road  
San Diego, CA 92123

San Diego Regional Center will present data, and conduct a discussion as required by the Lanterman Developmental Disabilities Act, relating to purchase of service authorization, utilization and expenditure with respect to client age, race or ethnicity, primary language, and disability.

The Purchase of Service Data by Ethnicity, Race, or Language data can be found on the home page of the San Diego Regional Center website at <http://sdrc.org/pos-data/>.

We welcome your input and suggestions.



SAN DIEGO  
REGIONAL CENTER

## Reunión Pública de Datos de Compra y Gastos de Servicios

<b>Martes</b>	<b>Miércoles</b>
<b>22 de Marzo de 2016</b>	<b>23 de Marzo de 2016</b>

**6:00 – 7:00 p.m.**

**6 :00 – 7:00 p.m.**

El Centro Regional de San Diego  
Oficina Imperial  
512 Aten Road  
Imperial, CA 92251

El Centro Regional de San Diego  
Cuarto de Conferencias 118  
4355 Ruffin Road  
San Diego, CA 92123

El Centro Regional de San Diego presentará datos, y conducirá una discusión, como lo exige la Ley Lanterman de Discapacidades del Desarrollo, en relación con la autorización de compra de servicios, la utilización y los gastos con respecto a la edad del cliente, la raza o el origen étnico, idioma principal, y la discapacidad.

La adquisición de datos de servicio según su origen étnico, raza, o los datos de idioma se puede encontrar en la página principal del sitio web del Centro Regional de San Diego en <http://sdr.org/pos-data/>.

Agradecemos sus comentarios y sugerencias.

***\* Reunión será en inglés con traducción en español***

San Diego Regional Center  
Purchase of Services Data  
Public Meeting  
512 W. Aten Road  
Imperial, CA 92251  
March 22, 2016  
Minutes

Attendance

There were eight people in attendance. Attendees were asked to sign-in. The sign-in sheet is attached to these minutes.

CalltoOrder

Carlos Flores, SDRC Executive Director, started the meeting at 6:00 p.m. The meeting was conducted in English and Spanish with interpretation provided by SDRC staff.

DiscussionItems

Mr. Flores distributed the data tables and copies of Section 4519.5 of the Welfare & Institutions Code. In order to begin the discussion regarding differences in purchase of service spending, he directed the attendees to the data page entitled Total Annual Expenditures and Authorized Services by Ethnicity or Race. The data page was explained including the difference between "Per Capita Expenditures" and Per Capita Authorized Services". The discussion also included an opinion by Mr. Flores that the intention of the legislation is good and noble but the legislation is weak. The differences in purchase of services between languages, ethnicities and cultures are most likely due to complicated socio-economic factors. Displaying data and having meetings regarding the data is far from what is necessary to determine why the differences exist. The Legislature needs to invest money in well designed and executed studies that can suggest objectively and empirically why the differences exist.

Recommendations

One recommendation was offered during the discussion.

1. Families should be informed about the exceptions to the respite services cap in the Lanterman Act.

Adjourn

The meeting was adjourned at 7:05 p.m.

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San Diego Regional Center  
Purchase of Services Data  
Public Meeting  
4355 Ruffin Road  
San Diego, CA 92123  
March 23, 2016  
Minutes

Attendance

There were twelve people in attendance. Attendees were asked to sign-in. The sign-in sheet is attached to these minutes.

CalltoOrder

Carlos Flores, SDRC Executive Director, started the meeting at 6:00 p.m. No translation was necessary and the meeting was conducted in English.

DiscussionItems

Mr. Flores distributed the data tables and copies of Section 4519.5 of the Welfare & Institutions Code. In order to begin the discussion regarding differences in purchase of service spending, he directed the attendees to the data page entitled Total Annual Expenditures and Authorized Services by Ethnicity or Race. The data page was explained including the difference between "Per Capita Expenditures" and Per Capita Authorized Services". Oddities were noted on the data page. For example, why does the count of White clients drop to 230 in the birth to age 2 years range? The discussion also included an opinion by Mr. Flores that the intention of the legislation is good and noble but the legislation is weak. The differences in purchase of services between languages, ethnicities and cultures are most likely due to complicated socio-economic factors. Displaying data and having meetings regarding the data is far from what is necessary to determine why the differences exist. The Legislature needs to invest money in well designed and executed studies that can suggest objectively and empirically why the differences exist.

Recommendations

Three recommendations were offered during the discussion.

1. Collect data on socio-economic status.
2. Look at National Core Indicators (NCI) to get qualitative and quantitative data and review those data.
3. Encourage participation in public meetings.






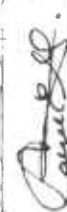


Adjourn

The meeting was adjourned at 7:05 p.m.








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Welfare and Institutions Code Section 4519.5 (e) Public Meeting  
 San Diego Regional Center Imperial Office  
 March 22, 2016  
 Sign In Sheet

Print Name (Escribir Nombre)	Sign Name (Firmar Nombre)
Megan Chambers Lopez	
Hester m Barnes	
E ERIKA MARCO TORRES	
Eliidia GONZALEZ	
SUSANNE GOREY	
CARMEN E. GARCIA	
Mary Ellen Stives	
Mirna Cardenas-Navarro	

Welfare and Institutions Code Section 4519.5 (e) Public Meeting  
 San Diego Regional Center Ruffin Road Office  
 March 23, 2016  
 Sign In Sheet

Print Name (Escribir Nombre)	Sign Name (Firmar Nombre)
Angela Newmeyer - TMI	
Nick Kelosi - TMI	
Xavier Dreyer ARC	
MARK KLAS HSH	
Maria Rodriguez	Perey
Aureo Pablo	
Cecilia Galvan	
LETICIA GALVAN	Leticia Galvan
CARLOS LADRON DE SUENARA	Carlos Ladron de Suenara
ALFREDO FORTUNO	Alfredo
May Allen Stives	
Megan Chambers-Lopez	