

HCBS Final Rule Compliance Information - SDRC as of October 1, 2021

| Service Type | Completion | | | Overall Alignment | | Other |
|------------------------|--|--|---|-------------------------------|---|---|
| | Number of Providers Needing Assessment (A) | Number of Providers Completed Assessment (B) | Percent of Providers Completed Assessment | Percent Reporting to Meet (C) | Percent Reporting to Not / Partially Meet (D) | Percent Reporting Heightened Scrutiny (E) |
| Residential | 569 | 453 | 80% | 61% | 39% | 12% |
| Day Service | 175 | 132 | 75% | 42% | 58% | 19% |
| Employment - Supported | 14 | 13 | 93% | 38% | 62% | 0% |
| Employment - WAP | 12 | 8 | 67% | 25% | 75% | 0% |
| Overall | 770 | 606 | 79% | 56% | 44% | 13% |

(A) Providers needing assessment is defined as providers that group individuals for services and are designed to serve individuals with developmental disabilities.

(B) Providers completed assessment is defined as providers that have completed the self-assessment or site assessment.

(C)* Providers reporting to meet is defined as providers reporting to meet all federal requirements in either the self-assessment or site assessment.

(D)* Providers reporting to not meet / partially meet is defined as providers reporting to not meet at least one of the federal requirements in either the self-assessment or site assessment.

(E)* Number of providers reporting in either the self-assessment or site assessment that they may meet heightened scrutiny requirements and require additional review.

*Percentages in these fields are based on the total number of completed assessments (B).

Reasons for Not Meeting Federal Requirements (D* continued)

| Service Type | All Providers Must Meet | | | | | Only Residential Providers Must Meet | | | | |
|------------------------|-------------------------|-------------------|--------------------------|---------------|---------------------------------|--------------------------------------|---------------|-----------------------------|-------------------|----------------|
| | Requirement 1 | Requirement 2 | Requirement 3 | Requirement 4 | Requirement 5 | Requirement 6 | Requirement 7 | Requirement 8 | Requirement 9 | Requirement 10 |
| | Access to the Community | Choice of Setting | Right to be treated well | Independence | Choice of Services and Supports | Residential Agreement | Privacy | Schedule and Access to Food | Right to Visitors | Accessibility |
| Residential | 56 | 51 | 67 | 33 | 30 | 19 | 91 | 47 | 85 | 16 |
| Day Service | 20 | 26 | 2 | 0 | 2 | | | | | |
| Employment - Supported | 2 | 2 | 4 | 0 | 0 | | | | | |
| Employment - WAP | 3 | 3 | 2 | 1 | 1 | | | | | |

(D continued) Will show trends of how providers across service types responded to each federal requirement. The numbers are based on providers reporting to not meet or partially meet each of the applicable federal requirements.